**Audit and Risk Committee Agenda | PART A**  
**4 March 2022**  
**Via Zoom**  
**9 am to 11 am**

**Present:** Jan Dawson (Chair), Dr Rajen Prasad, Rachael Newsome, Cecilia Tarrant, Rob McDonald and Jonathan Mason  
**In Attendance:** Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Andrew Phipps, Rachelle Miller, Stephen Whiteside, James Harper, Angus Clark, Julian Michael, Brent Penrose, Michelle Chaloner, Lisa Muller, Anthony Steele, Yoonyoung Lee and Jacqui Metcalf

<table>
<thead>
<tr>
<th>1. Apologies</th>
<th>No apologies were noted.</th>
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<td>2. Disclosures of Interest</td>
<td>No further disclosures were noted.</td>
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| 3. Minutes of Audit and Risk Committee of 24 November 2021 | **Item No 3.1:** Minutes, Part A  
**Item No 3.2:** Matters Arising from the Minutes, Part A, not elsewhere on the agenda.  
**RESOLVED (Jan Dawson | Cecilia Tarrant)** that the Minutes, Part A, of the Audit and Risk Committee held on 24 November 2021 be taken as read and confirmed. |
| 4. Other Matters for Decision or Noting | **Item No 4.1:** Committee Responsibilities and Processes  
This paper was discussed and noted.  
**Item No 4.2:** Audit and Risk Committee Terms of Reference  
The Committee Terms of Reference was reviewed.  
The Committee requested the following minor changes.  
  a) **Insurance**  
Each year the Committee will review and approve the University's insurance policies cover and any policy changes which may be recommended.  
  b)  
  9. Authority The Committee has the following delegated authorities:  
  • appointment of the Internal Auditors  
  • approval of the University's significant accounting policies and changes to them  
**RESOLVED (Cecilia Tarrant | Rachael Newsome)** that:  
  i) The paper be received and noted; and  
  ii) Audit & Risk Committee recommend to Council that the amended Committee Terms of Reference be adopted. |
| 5. Leave of Absence | No leave of absence was requested for the next Committee meeting. |
### Public Exclusions

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

<table>
<thead>
<tr>
<th>General subject of each matter to be considered:</th>
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<tbody>
<tr>
<td><strong>Item No 7.1:</strong> Audit and Risk Committee Meeting 24 November 2021, Minutes Part B</td>
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<tr>
<td><strong>Item No 7.2:</strong> Audit and Risk Committee Meeting 24 November 2021, Matters Arising from Minutes, Part B</td>
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<td><strong>Item No 8.0:</strong> 2021 Draft Annual Report</td>
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<td><strong>Item No 9.0:</strong> EY Reporting</td>
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<td><strong>Item No 10.0:</strong> 2021 Management Judgements</td>
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<td><strong>Item No 11.0:</strong> Combined Assurance Report</td>
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<td><strong>Item No 12.0:</strong> Outcome of Internal Audit RFP</td>
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<td><strong>Item No 13.0:</strong> Health &amp; Safety Programme Update</td>
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<td><strong>Item No 14.0:</strong> Digital Programme Update</td>
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<td><strong>Item No 15.0:</strong> Independent Reviews</td>
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Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

1. To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
2. To enable the University to carry on without prejudice or disadvantage negotiations; and
3. To prevent the disclosure or use of Official Information for improper gain or advantage.

RESOLVED (Jan Dawson | Dr Rajen Prasad) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Steele, Ms Lee, Mr Penrose, Ms Chaloner, Ms Muller, Mr Michael, Mr Phipps, Mr Whiteside, Mr Clarke, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.
The meeting moved into a Public Excluded Session at 09.08 am.
The meeting closed at 10.59 am.
Approved as a true and correct record.

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Jan Dawson, Chair
Date: